

## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

TERRY

Serial No.: 10/714,529

Filed: November 14, 2003

Confirmation No.: 2211

Atty. File No.: 41942-05553

For: "CEPSTRAL DOMAIN PULSE

OXIMETRY"

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to Applicant's duty of disclosure under 37 C.F.R. §1.56 and 37 C.F.R. §§1.97-1.98, Applicant hereby submits the enclosed PTO Form 1449. In relation to those documents identified herein that are of record in U.S. Patent Application Serial No. 10/371,658, copies of such documents are not being submitted under 37 C.F.R. §1.98(d) since the above-identified patent application is a continuation thereof.

Applicant does not admit that any of the documents included herein, alone or in any combination, is considered to be material to patentability as defined in 37 C.F.R. §1.56(b). Moreover, the inclusion of these documents is not to be construed as an admission by Applicant that each such document is prior art as to the above-identified patent application.

CERTIFICATE OF MAILING

Examiner: Not Yet Assigned

Group Art Unit: 3736

THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 ON APRIL 16, 2004.

MARSH FISCHMANN & BREYFOGLE LLP

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH

INFORMATION DISCLOSURE STATEMENT

DAXMARA SANCHEZ

Respectfully submitted,

MARSH FISCHMANN & BREYFOGLE LLP

By: Roley B. Beruly

Robert B. Berube, Esq. Registration No. 39,608

3151 South Vaughn Way, Suite 411

Aurora, Colorado 80014

Telephone:

(303) 338-0997

Facsimile:

(303) 338-1514

Date: April 16, 2004

OIPE					ATTY DOCKET NO. 41942-05553		SERIAL NO. 10/714,529		
INFORMATION DISCLOSURE CITATION  (Use several sheets if necessary)					TERRY				
APR 2 2 2004					FILING November 14, 2003		GROUP 3736		
U.S. PATENT					DOCUMENTS				
*EXAMINER	- NI	DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DAT	
	2.	4,655,228	04/07/87	SHIMURA ET AL.		128	660		
•	2.	4,911,167	03/1990	CORENMAN ET AL.		600	324		
	3.	5,327,893	07/12/94	SAVIC		128	661.08		
	4.	5,575,285	11/1996	TAKANASHI ET AL.		600	324		
	5.	5,677,984	10/14/97	MITOME		395	2.12		
	6.	5,766,127	06/16/98	POLOGE ET AL.		600	310		
	7.	5,934,277	08/10/99	MORTZ		128	633		
	8.	6,045,511	04/2000	OTT ET AL.		600	504		
	9.	6,333,896	12/25/01	PETTY		382	103	•	
	10.	6,390,986	05/21/02	CURCIE ET AL.		600	485		
•									
			FORE	IGN PATE	NT DOCUMENTS				
•		DOCUMENT NUMBÉR	DATE	COUNTRY		CLASS	SUBCLASS	TRANSLATION YES NO	
		OTHER DOCUM	ENTS (Includir	ng Author	, Title, Date, Pertinent i	Pages, Etc.,	)		
EXAMINER					DATE CONSIDERED				
		al if reference considered, wheth			ance with MPEP 609; Draw	line through ci	tation if not in co	onformance	and not